#4 5/24/02 arm

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Daniel John Keeble, F	Kim Leeson, an	d Stuart Wilkinson
------------	-----------------------	----------------	--------------------

Serial No.: herewith

Filed: January 18, 2002

For: NOVEL FIBER OPTIC TRANSCEIVER MODULE

Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 30010008 US-02

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, please find attached hereto form PTO-1449 listing information which may be material to the patentability of this application, being filed concurrently herewith. This Information Disclosure Statement is being filed:

	•
<u>xxx</u>	Within three (3) months of the filing date of the national application;
	Within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application;
	Before the mailing date of a first Office Action on the merits;
	After the filing date or date of first Office Action, but before the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(p);
	After the filing date or date of first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e) or the fee set forth in 37 C.F.R. §1.17(n):



After the mailing date of a final action under 37 C.F.R. §1.113, provided that this occurs prior to the issuance of a Notice of Allowance and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(i)(1); and

After the mailing date of a Notice of Allowance under 37 C.F.R. §1.311, provided that this occurs prior to the issuance of a final action and provided that this I.D.S. is accompanied by either a certification as specified in 37 C.F.R. §1.97(e), a petition requesting consideration of the I.D.S., and the petition fee set forth in 37 C.F.R. §1.17(I)(1).

Also enclosed are copies of European Patent Application No. 0 110 632 A1; United Kingdom Patent Application Nos. GB 2 258 093 A and 2 164 803 A; and United Kingdom Search Report Application Number GB 0106367.6.

It should be understood that attention has been called to the references that have been deemed to be pertinent to the claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed references and to make the usual careful independent search for other prior art that may be pertinent.

Respectfully submitted,

January 18, 2002

Paul D. Greeley

Reg. No. 31,019

Ohlandt, Greeley, Ruggiero & Perle, L.L.P.

One Landmark Square, 10th Floor

Stamford, CT 06901-2682

(203) 327-4500

Sheet 1 of 1	Sheet	1	of	1	
--------------	-------	---	----	---	--

FORM PTO-	1449			Docket Number (Optional)		Арр	lication Numbe		1
				30010008 US-02		No	t yet assign	ed .	3 🗐 🧸
INFO	RMATION DISCLOS	URE CITAT	ION	Applicant				25.8	
	IN AN APPLICA	TION		Keeble et al.				9 70/	
			Filing Date Group Art Unit			186			
	(Use several sheets if r	necessary)		January 18, 2002		No	t yet assign	ed5	
U. S. PATENT DOCUMENTS									
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CLAS	s	SUBCLASS		DATE IF
							<u>-</u>		
*		 							
			 -				·		
									_
								<u> </u>	
							74.		
		FORE	EIGN PATI	ENT DOCUMENTS	<u> </u>				
									slation
	DOCUMENT NUMBER	DATE		COUNTRY	CLAS	S	SUBCLASS	YES	NO
	EP 0 110 632 A1	13.06.84	Europe					X	
	GB 2 164 803 A	26.03.86	United Kir	ngdom				X	
	GB 2 258 093 A	27.01.93	United Kin	ıgdom				Х	
	OTHER	R DOCUM	ENTS (inclu	ding Author, Title, Date, Pert	inent Page	es, Et	c.)		
				arch Report, Application				ted 4 Ser	otember
	2001.	minor. Omico	rimguom ou				,,		
	2001.								
					-				
					····	-25		-	
									
	<u> </u>			TOATE CONCIDEDED			<u>.</u>		
EXAMINER				DATE CONSIDERED					
									
EXAMINER: Ini	itial if citation considered, v	vhether or not o	itation is in con	formance with MPEP §609; I	Draw line t	hroug	h		
citation if not in	conformance and not cons	idered. Include	copy of this fo	rm with next communication	to the app	licant			







Application No:

GB 0106367.6

Claims searched: 1-13

Examiner: Date of search:

S M Colcombe

4 September 2001



Patents Act 1977 Search Report under Section 17

Databases searched:

Other:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.S): H1R (RBE, RBN, RBR, RBS, RBU, RBV)

Int Cl (Ed.7): H05K

Online: WPI, EPODOC, JAPIO

Documents considered to be relevant:

Category	Identity of docume	Relevant to claims	
A	GB 2258093 A	(KITAGAWA)	
A	GB 2164803 A	(SMITHS)	
A	EP 0110632 A1	(OLIVETTI)	

- X Document indicating lack of novelty or inventive step
- Y Document indicating lack of inventive step if combined with one or more other documents of same category.
- Member of the same patent family

- Document indicating technological background and/or state of the art.
- P Document published on or after the declared priority date but before the filing date of this invention.
- E Patent document published on or after, but with priority date earlier than, the filing date of this application.